ANNUAL SYNAR REPORT

42 U.S.C. 300x-26

OMB № 0930-0222

FFY 2021 State: New Jersey

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OMB No. 0930-0222 Expiration Date: 06/30/2021

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INTRODUCTION

The Annual Synar Report (ASR) format provides the means for states to comply with the reporting provisions of the Public Health Service Act (42 U.S.C. 300x-26) and the Tobacco Regulation for the Substance Abuse Prevention and Treatment Block Grant (SABG) (45 C.F.R. 96.130 (e)).

How the Synar report helps the Center for Substance Abuse Prevention

In accordance with the tobacco regulations, states are required to provide detailed information on progress made in enforcing youth tobacco access laws (FFY 2020 Compliance Progress) and future plans to ensure compliance with the Synar requirements to reduce youth tobacco access rates (FFY 2021 Intended Use Plan). These data are required by 42 U.S.C. 300x-26 and will be used by the Secretary to evaluate state compliance with the statute. Part of the mission of the Center for Substance Abuse Prevention (CSAP) is to assist states 1 by supporting Synar activities and providing technical assistance helpful in determining the type of enforcement measures and control strategies that are most effective. This information is helpful to CSAP in improving technical assistance resources and expertise on enforcement efforts and tobacco control program support activities, including state Synar program support services, through an enhanced technical assistance program involving conferences and workshops, development of training materials and guidance documents, and onsite technical assistance consultation.

How the Synar report can help states

The information gathered for the Synar report can help states describe and analyze substate needs for program enhancements. These data can also be used to report to the state legislature and other state and local organizations on progress made to date in enforcing youth tobacco access laws when aggregated statistical data from state Synar reports can demonstrate to the Secretary the national progress in reducing youth tobacco access problems. This information will also provide Congress with a better understanding of state progress in implementing Synar, including state difficulties and successes in enforcing retailer compliance with youth tobacco access laws.

¹The term "state" is used to refer to all the states and territories required to comply with Synar as part of the Substance Abuse Prevention and Treatment Block Grant Program requirements (42 U.S.C. 300x-64 and 45 C.F.R. 96.121).

Getting assistance in completing the Synar report

If you have questions about programmatic issues, you may call CSAP's Division of State Programs at (240) 276-2550 and ask for your respective State Project Officer, or contact your State Project Officer directly by telephone or email. If you have questions about fiscal or grants management issues, you may call the Grants Management Officer, Office of Financial Resources, Division of Grants Management, at (240) 276-1422.

Where and when to submit the Synar report

The ASR must be received by SAMHSA no later than December 31, 2020 and must be submitted in the format specified by these instructions. Use of the approved format will avoid delays in the review and approval process. The chief executive officer (or an authorized designee) of the applicant organization must sign page one of the ASR certifying that the state has complied with all reporting requirements.

The state must upload one copy of the ASR using the online WebBGAS (Block Grant Application System). In addition, the following items must be uploaded to WebBGAS:

- FFY 2021 Synar Survey Results: States that use the Synar Survey Estimation System (SSES) must upload one copy of SSES Tables 1–8 (in Excel) to WebBGAS. Please note that, beginning with the FFY 2021 ASR, SSES will generate Tables 6, 7, and 8, which are based on the optional microdata on product type, retail outlet type, and whether identification was requested. If your state does not submit these optional data, Tables 6, 7, and 8 will be blank. Tables 6, 7, and 8 are generated for the convenience of the state, and states are not required to submit completed versions of Tables 6, 7, or 8. States that do not use SSES must upload one copy of ASR Forms 1, 4, and 5, and Forms 2 and 3, if applicable, (in Excel), as well as a database with the raw inspection data to WebBGAS.
- Synar Inspection Form: States must upload one blank copy of the inspection form used to record the result of each Synar inspection.
- Synar Inspection Protocol: States must upload a copy of the protocol used to train inspection teams on conducting and reporting the results of the Synar inspections. This document should be different than the Appendix C attached to the Annual Synar Report.
- A scanned copy of the signed Funding Agreements/Certifications

Each state SSA Director has been emailed a login ID and password to log onto the Synar section of the WebBGAS site.

FFY 2021: FUNDING AGREEMENTS/CERTIFICATIONS

The following form must be signed by the Chief Executive Officer or an authorized designee and submitted with this application. Documentation authorizing a designee must be attached to the application.

PUBLIC HEALTH SERVICES ACT AND SYNAR AMENDMENT

42 U.S.C. 300x-26 requires each state to submit an annual report of its progress in meeting the requirements of the Synar Amendment and its implementing regulation (45 C.F.R. 96.130) to the Secretary of the Department of Health and Human Services. By signing below, the chief executive officer (or an authorized designee) of the applicant organization certifies that the state has complied with these reporting requirements and the certifications as set forth below.

SYNAR SURVEY SAMPLING METHODOLOGY

The state certifies that the Synar survey sampling methodology on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2021 is up-to-date and approved by the Center for Substance Abuse Prevention.

SYNAR SURVEY INSPECTION PROTOCOL

The state certifies that the Synar Survey Inspection Protocol on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2021 is up-to-date and approved by the Center for Substance Abuse Prevention.

State: New Jersey	
Name of Chief Executive Officer or Designee:	Valerie L. Mielke, MSW
Signature of CEO or Designee:	
Title: Assistant Commissioner	Date Signed:
If signed by a designee, a cop	by of the designation must be attached.

FFY: 2021 State: New Jersey

SECTION I: FFY 2020 (Compliance Progress)

YOUTH ACCESS LAWS, ACTIVITIES, AND ENFORCEMENT

42 U.S.C. 300x-26 requires the states to report information regarding the sale/distribution of tobacco products to individuals under age 18.

1.	access s the last	ndicate any changes or additions to the state tobacco statute(s) relating to youth ince the last reporting year. If any changes were made to the state law(s) since reporting year, please upload a copy of the state law to WebBGAS. (see 42 800x-26).
	a.	Has there been a change in the minimum sale age for tobacco products?
		☐ Yes ⊠ No
		If Yes , current minimum age: 19 20 21
	b.	Have there been any changes in state law that impact the state's protocol for conducting <i>Synar inspections?</i>
		☐ Yes ⊠ No
		If Yes, indicate change. (Check all that apply.) Changed to require that law enforcement conduct inspections of tobacco outlets Changed to make it illegal for youth to possess, purchase or receive tobacco Changed to require ID to purchase tobacco Changed definition of tobacco products Other change(s) (Please describe.)
	c.	Have there been any changes in state law that impact the following?
		Licensing of tobacco vendors Yes No
		Penalties for sales to minors
		Added product
		categories to youth access law Yes No
2.		the how the Annual Synar Report (see 45 C.F.R. 96.130(e)) was made public the state prior to submission of the ASR. (Check all that apply.)
		Placed on file for public review
		Posted on a state agency Web site (Please provide exact Web address and the date then the FFY 2021 ASR was posted to this Web address.)
		Web address:
		https://www.state.nj.us/humanservices/dmhas/provider/notices/
	Г	<u>Date published: 12/1/20</u> Notice published in a newspaper or newsletter
		Public hearing

		Announced in a news release, a press conference, or discussed in a media interview
		Distributed for review as part of the SABG application process
		Distributed through the public library system
		Published in an annual register
		Other (Please describe.) Discussed with the New Jersey Behavioral Health Planning
	<u>C</u>	ouncil on 12/9/20
3.	Identif	y the following agency or agencies (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).
	a.	The state agency(ies) designated by the Governor for oversight of the Synar requirements:
		New Jersey Department of Human Services (DHS), Division of Mental Health and Addiction Services (DMHAS)
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
	b.	The state agency(ies) responsible for conducting random, unannounced Synar inspections:
		New Jersey Department of Health (DOH), Division of Community Health Services, Community Health and Wellness Unit, Office of Tobacco Control (OTC), Tobacco Age of Sale Enforcement (TASE)
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
	c.	The state agency(ies) responsible for enforcing youth tobacco access law(s):
		New Jersey Department of Health (DOH), Division of Community Health Services, Community Health and Wellness Unit, Office of Tobacco Control (OTC), Tobacco Age of Sale Enforcement (TASE)
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
4.	•	y the following agencies and describe their relationship with the agency sible for the oversight of the Synar requirements.
	a.	Identify the state agency responsible for tobacco prevention activities (the agency that receives the Centers for Disease Control and Prevention's National Tobacco Control Program funding). New Jersey Department of Health (DOH), Division of Community Health Services, Community Health and Wellness Unit, Office of Tobacco Control (OTC), Tobacco Age of Sale Enforcement (TASE)
	b.	Has the responsible agency changed since last year's Annual Synar Report? ☐ Yes ☑ No

c.	Describe the coordination and collaboration that occur between the agency responsible for tobacco prevention and the agency responsible for oversight of the Synar requirements. (Check all that apply.) The two agencies
	Are the same
	Have a formal written memorandum of agreement (Currently being updated)
	Have an informal partnership
	Conduct joint planning activities
	Combine resources
	Have other collaborative arrangement(s) (Please describe.)
	☐ No relationship
d.	Does a state agency contract with the Food and Drug Administration's Center for Tobacco Products (FDA/CTP) to enforce the youth access and advertising restrictions in the Family Smoking Prevention and Tobacco Control Act? Yes No (if no, go to Question 5)
e.	If yes, identify the state agency responsible for enforcing the youth access and advertising restrictions in the Family Smoking Prevention and Tobacco Control Act (the agency that is under contract to the Food and Drug Administration's Center for Tobacco Products (FDA/CTP)). New Jersey Department of Health (DOH), Division of Community Health Services Community Health and Wellness Unit, Office of Tobacco Control (OTC), Tobacco Age of Sale Enforcement (TASE)
f.	Has the responsible agency changed since last year's Annual Synar Report? ☐ Yes 図 No
g.	Describe the coordination and collaboration that occur between the agency contracted with the FDA to enforce federal youth tobacco access laws and the agency responsible for oversight of the Synar requirements. (Check all that apply.) The two agencies:
	Are the same
	Have a formal written memorandum of agreement
	Have an informal partnership
	Conduct joint planning activities
	Combine resources
	Have other collaborative arrangement(s) (Please describe.)
	☐ No relationship
h.	Does the state use data from the FDA enforcement inspections for Synar survey reporting? ☐ Yes ⋈ No

5.		answer the following questions regarding youth access to tobacco law(s) in FFY 20 (e)).			
	a.	Which one of the following describes the tobacco laws carried out in your state?		•	th access to
		☐ Enforcement is conducted exclusively ☐ Enforcement is conducted exclusively ☐ Enforcement is conducted by both local	by state agen	cy(ies).	gencies.
	b.	The following items concern penalties access to tobacco laws by <u>LOCAL AND AGENCIES</u> (this does not include enfortobacco access laws). Please fill in the rallow for an item, please mark "NA" (rais unknown, please mark "UNK." The	D/OR STATE orcement of long to the second se	LAW ENFO cal laws or fed sted. If state l e). If a respons	RCEMENT deral youth aw does not se for an item
		PENALTY	OWNERS	CLERKS	TOTAL
]	Number of citations issued	17	0	17
]	Number of <u>fines assessed</u>	4	0	4
	1	Number of permits/licenses suspended	0		0
]	Number of permits/licenses revoked	0		0
		Other (Please describe.)	N/A	N/A	N/A
	c.	Are citations or warnings issued to retain minors for inspections that are part of Yes No If "Yes" to 5c, please describe the state's the survey results from retailers alerting teams:	the Synar sur	vey? r minimizing ri	isk of bias to
		Synar inspections are conducted in munical necessary to complete inspections in a malerting each other to the presence of the	unicipality to r		•

d. Which one of the following best describes the level of enforcement of state youth access to tobacco laws carried out in your state? (Check one category only.)

	Enforcement is conducted only at those outlets randomly selected for the Synar survey.
	Enforcement is conducted only at a subset of outlets not randomly selected for the Synar survey.
	Enforcement is conducted at a combination of outlets randomly selected for the Synar survey and outlets not randomly selected for the Synar survey.
	Did every tobacco outlet in the state receive at least one compliance check that included enforcement of the state youth tobacco access law(s) in the last year?
	☐ Yes ⊠ No
	What additional activities are conducted in your state to support enforcement and compliance with state youth tobacco access law(s)? (Check all that apply and briefly describe each activity in the text boxes below each activity.)
	Merchant education and/or training
Ī	Adult inspectors conduct merchant education training and distribute merchant education materials to retailers at the end of the inspection process.
	The STOMP Out Youth Tobacco Use campaign was created to increase merchant education on age of sale requirements and help decrease youth access to tobacco products and exposure to tobacco. The program provides merchant education, the STOMP OUT youth tobacco use sticker and merchant pledge to retailers across the state. STOMP stands for Stop Tobacco Offenses Merchant Program. By implementing the STOMP OUT campaign into the tobacco merchant community, the intent is to spread the word of the new age of sale (21 years and older) and help merchants show that they care about the youth of their communities.
	☐ Incentives for merchants who are in compliance (e.g., nonenforcement compliance checks in which compliant retailers are given positive reinforcement and noncompliant retailers are warned about youth access laws)
	Community education regarding youth access laws
	Through funding from the NJDOH/OTC, Tobacco Free for a Healthy NJ (TFHNJ) has been tasked with conducting tobacco retailer audits to help communities better understand the availability of tobacco products and the tobacco advertising landscape. These audits allow TFHNJ communities to collect data that will help inform policy makers of the dangers of tobacco, specifically youth access and availability and exposure to tobacco marketing. The retail environment is an important area of focus for tobacco control efforts as this is one of the last frontiers for tobacco marketing.
	Point of Sale Initiatives
	Regulating the sale of e-cigarettes & other electronic nicotine delivery systems
	Restricting the sale of menthol & other flavored tobacco products

Implementing content-neutral advertising laws
☐ Media use to publicize compliance inspection results
Community mobilization to increase support for retailer compliance with youth access laws
Other activities (Please list.)

SYNAR SURVEY METHODS AND RESULTS

The following questions pertain to the survey methodology and results of the Synar survey used by the state to meet the requirements of the Synar Regulation in FFY 2020 (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).

).	Yes No
	The state is required to have an approved up-to-date description of the Synar sampling methodology on file with CSAP. Please submit a copy of your Synar Survey Sampling Methodology (Appendix B). If the sampling methodology changed from the previous reporting year, these changes must be reflected in the methodology submitted.
	a. If yes, describe how and when this change was communicated to SAMHSA
7.	Please answer the following questions regarding the state's annual random, unannounced inspections of tobacco outlets (see 45 C.F.R. 96.130(d)(2)).
	a. Did the state use the optional Synar Survey Estimation System (SSES) to analyze the Synar survey data?
	⊠ Yes □ No
	If Yes , upload a copy of SSES tables 1–8 (in Excel) to WebBGAS. Then go to Question 8. If No , continue to Question 7b.
	b. Report the weighted and unweighted Retailer Violation Rate (RVR) estimates, the standard error, accuracy rate (number of eligible outlets divided by the total number of sampled outlets), and completion rate (number of eligible outlets inspected divided by the total number of eligible outlets).
	Unweighted RVR
	Weighted RVR
	Standard error (s.e.) of the (weighted) RVR
	Fill in the blanks to calculate the <u>right limit</u> of the right-sided 95% confidence interval.
	+ (1.645 ×) = RVR Estimate plus (1.645 times Standard Error) equals Right Limit
	Accuracy rate
	Completion rate

c.	Fill out Form 1 in Appendix A (Forms 1–5). (Required regardless design.)	of the sample
d.	How were the (weighted) RVR estimate and its standard error of (Check the one that applies.)	btained?
	Form 2 (Optional) in Appendix A (Forms 1–5) (Attach completed	l Form 2.)
	Other (Please specify. Provide formulas and calculations or attactive the program code and output with description of all variable name	-
e.	If stratification was used, did any strata in the sample contain on or cluster this year?	aly one outlet
	☐ Yes ☐ No ☐ stratification	
	If Yes, explain how this situation was dealt with in variance estimation	on.
f.	Was a cluster sample design used?	
	☐ Yes ☐ No	
	If Yes, fill out and attach Form 3 in Appendix A (Forms 1–5), and an following question.	swer the
	If No, go to Question 7g.	
	Were any certainty primary sampling units selected this year?	
	☐ Yes ☐ No	
	If Yes, explain how the certainty clusters were dealt with in variance	e estimation.
g.	Report the following outlet sample sizes for the Synar survey.	
		Sample Size
ш	Effective sample size (sample size needed to meet the SAMHSA precision requirement assuming simple random sampling)	
	Target sample size (the product of the effective sample size and the design effect)	
	Original sample size (inflated sample size of the target sample to counter the sample attrition due to ineligibility and noncompletion)	
	Eligible sample size (number of outlets found to be eligible in the sample)	
	Final sample size (number of eligible outlets in the sample for which an inspection was completed)	

h. Fill out Form 4 in Appendix A (Forms 1-5).

8.	Did the	state's Synar survey use a list frame?
	⊠ Yes	□ No
	If Yes , a	nswer the following questions about its coverage.
	a.	The calendar year of the latest Sampling frame coverage study: 2016
	b.	Percent coverage from the latest Sampling frame coverage study: 83.1%
	c.	Was a new study conducted in this reporting period? ⊠Yes □ No
		If Yes, please complete Appendix D (List Sampling Frame Coverage Study) and submit it with the Annual Synar Report.
	d.	The calendar year of the next coverage study planned: 2023
9.		Synar survey inspection protocol changed from the previous year?
	protocol (Append be reflec	e is required to have an approved up-to-date description of the Synar inspection on file with CSAP. Please submit a copy of your Synar Survey Inspection Protocol (ix C). If the inspection protocol changed from the previous year, these changes must sted in the protocol submitted. If Yes, describe how and when this change was communicated to SAMHSA
	b.	Provide the inspection period: From <u>09/16/2020</u> to <u>9/30/2020</u> MM/DD/YY MM/DD/YY
	c.	Provide the number of youth inspectors used in the current inspection year:
		<u>3</u>
		NOTE: If the state uses SSES, please ensure that the number reported in 9b matches that reported in SSES Table 4, or explain any difference.
	d.	Fill out and attach Form 5 in Appendix A (Forms 1–5). (Not required if the state used SSES to analyze the Synar survey data.)

[†] The training manual, The New Jersey Guidelines for Prohibiting the Sale of Tobacco Products to Minors was revised in 2020 to include a COVID 19 Risk Mitigation Plan for the safely of the inspectors. The actual inspection protocol did not change for conducting inspections.

SECTION II: FFY 2021 (Intended Use):

Public Law 42 U.S.C. 300x-26 of the Public Health Service Act and 45 C.F.R. 96.130 (e) (4, 5) require that the states provide information on future plans to ensure compliance with the Synar requirements to reduce youth tobacco access.

1.	in the upcoming year, does the state anticipate any changes in:
	Synar sampling methodology
	Synar inspection protocol \square Yes \boxtimes No
	If changes are made in either the Synar sampling methodology or the Synar inspection protocol, the state is required to obtain approval from CSAP prior to implementation of the change and file an updated Synar Survey Sampling Methodology (Appendix B) or an updated Synar Survey Inspection Protocol (Appendix C), as appropriate.
2.	Please describe the state's plans to maintain and/or reduce the target rate for Synar inspections to be completed in FFY 2021. Include a brief description of plans for law enforcement efforts to enforce youth tobacco access laws, activities that support law enforcement efforts to enforce youth tobacco access laws, and any anticipated changes in youth tobacco access legislation or regulation in the state.
	1. OTC continues to conduct the FDA Compliance Check Inspection Program in combination with Synar inspections, which will enhance the number of retailers inspected statewide, which in turn should reduce the overall retail violation rate.
	2. Advocates are continuing to educate key stakeholders regarding sustainability messaging to increase activities aimed at enhancing and supporting law enforcement and prevention efforts for the OTC.
	3. OTC and DHS/DMHAS have established discussions to enhance efforts to reduce the retail violation rate for Synar.
	4.Point of Sale - This project aims to characterize tobacco advertising and product availability in retail locations. Monitoring this type of marketing may highlight youth exposure to different types of tobacco products and indicate how exposure may vary among the sample of New Jersey communities. Additionally, ongoing surveillance of point of sale tobacco marketing may inform future legislation restricting this activity.
3.	Describe any challenges the state faces in complying with the Synar regulation. (Check all that apply and describe each challenge in the text box below it.)
	Limited resources for law enforcement of youth access laws
	The NJ SFY 2020 Budget Act expanded services to residents by requiring a minimum of 1% of the state's cigarette and tobacco tax revenue to tobacco control and prevention programs. OTC is in the planning stage for increasing the number of TASE program retailer inspections in municipalities with high retailer violation rates and retailers which are near schools. The Office of Tobacco Control (OTC) continues to be placed under the Community Health and Wellness Unit. This office manages the funds from the Center for Disease

Control and FDA cost reimbursement contract as well as the Tobacco Age of Sale (TASE)

program. Starting in NJ SFY 2011, the TASE program only conducts the mandated federal inspections.
□ Limited resources for activities to support enforcement and compliance with youth tobacco access laws
Due to limiting funding, merchant education training, promotional and educational activities were provided by inspectors to the merchants at the time of inspections.
Limitations in the state youth tobacco access laws
Limited public support for enforcement of youth tobacco access laws
☐ Limitations on completeness/accuracy of list of tobacco outlets
The Department of the Treasury (Treasury) produces the retailer's list with limited staff to verify accuracy. OTC and DHS/DMHAS will continue to seek collaboration with Treasury to strategically plan a solution to increase the fidelity of the retailers list.
Limited expertise in survey methodology
Laws/regulations limiting the use of minors in tobacco inspections
☐ Difficulties recruiting youth inspectors
OTC requires adult inspectors to hire youth inspectors. Inspectors have noted that difficulties in recruiting youth inspectors are due to the following:
1. Competition from other youth job opportunities.
2.Parental concerns regarding the safety of the youth conducting inspections.
☐ Issues regarding the balance of inspections conducted by youth inspectors age 15 and under
☐ Issues regarding the balance of inspections conducted by one gender of youth inspectors
OTC has implemented a system to ensure a proper gender balance for youth inspectors. The gender ratio varies for youth inspectors due to following:
1. Competition from other youth job opportunities.
2. Youth participation in sports and other extra-curricular activities.

3. Inspectors inability to hire youth.
4. Youth unavailable due to vacation.
Geographic, demographic, and logistical considerations in conducting inspections
Cultural factors (e.g., language barriers, young people purchasing for their elders)
☐ Issues regarding sources of tobacco under tribal jurisdiction
Other challenges (Please list.) COVID 19 Limited the number of inspections completed

Due to the COVID 19 pandemic, a limited number of inspections were completed. A total of 379 inspections were assigned and only 125 inspections were completed. The inspections normally commence on July 15. As a result of COVID 19 inspections did not begin until September 16. The delay was a result of state closures, work stoppages and transitioning to remote working due to the COVID 19 pandemic. To ensure the safety of the inspection teams consisting of youth and inspectors, a COVID 19 Risk Mitigation Plan had to be developed prior to the authorization to commence inspections to ensure the safety of the staff during the pandemic. The parental consent form was revised to include mandatory reading and acknowledgement of the COVID 19 Risk Mitigation Plan and a COVID 19 Risk Questionnaire was also developed to limit the risk for inspectors as well as the general public. In addition, some of the inspectors and students traveled to states on the COVID 19 quarantine list for New Jersey requiring a 14-day self-quarantine period before being allowed to conduct inspections.

APPENDIX A: FORMS 1–5

FORM 1 (Required for all states not using the Synar Survey Estimation System (SSES) to analyze the Synar Survey data)

Complete Form 1 to report sampling frame and sample information and to calculate the unweighted retailer violation rate (RVR) using results from the current year's Synar survey inspections.

Instructions for Completing Form 1: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2021). Provide the remaining information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

- Column 1: If stratification was used:
 - 1(a) Sequentially number each row.
 - 1(b) Write in the name of each stratum. All strata in the state must be listed.

If no stratification was used:

- 1(a) Leave blank.
- 1(b) Write "state" in the first row (indicates that the whole state is a single stratum).

Note for unstratified samples: For Columns 2–5, wherever the instruction refers to "each stratum," report the specified information for the state as a whole.

- Column 2: 2(a) Report the number of over-the-counter (OTC) outlets in the sampling frame in each stratum.
 - 2(b) Report the number of vending machine (VM) outlets in the sampling frame in each stratum.
 - 2(c) Report the combined total of OTC and VM outlets in the sampling frame in each stratum.
- Column 3: 3(a) Report the estimated number of eligible OTC outlets in the OTC outlet population in each stratum.
 - 3(b) Report the estimated number of eligible VM outlets in the VM outlet population in each stratum.
 - 3(c) Report the combined total estimated number of eligible OTC and VM outlets in the total outlet population in each stratum.

The estimates for Column 3 can be obtained from the Synar survey sample as the weighted sum of eligible outlets by outlet type.

- Column 4: 4(a) Report the number of eligible OTC outlets for which an inspection was completed, for each stratum.
 - 4(b) Report the numbers of eligible VM outlets for which an inspection was completed, for each stratum.
 - 4(c) Report the combined total of eligible OTC and VM outlets for which an inspection was completed, for each stratum.
- Column 5: 5(a) Report the number of OTC outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(b) Report the number of VM outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(c) Report the combined total of OTC and VM outlets found in violation of the law as a result of completed inspections, for each stratum.
- Totals: For each subcolumn (a–c) in Columns 2–5, provide totals for the state as a whole in the last row of the table. These numbers will be the sum of the numbers in each row for the respective column.

FORM 1 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data.)

				Sumn	nary of Sy	nar Inspe	ection Res	ults by St	ratum			State: FFY: <u>2021</u>	
((1)		(2)			(3)			(4)			(5)	
STRATUM			ER OF OUT IPLING FR		ELIGI	ATED NUM BLE OUTLI OPULATIO	ETS IN		BER OF OU'		VIOL	OUTLETS FO ATION DU ISPECTION	RING
(a) Row #	(b) Stratum Name	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (2a+2b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (3a+3b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (4a+4b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (5a+5b)

RECORD COLUMN TOTALS ON LAST LINE (LAST PAGE ONLY IF MULTIPLE PAGES ARE NEEDED).

FORM 2 (Optional)

Appropriate for stratified simple or systematic random sampling designs.

Complete Form 2 to calculate the weighted RVR. This table (in Excel form) is designed to calculate the weighted RVR for stratified simple or systematic random sampling designs, accounting for ineligible outlets and noncomplete inspections encountered during the annual Synar survey.

Instructions for Completing Form 2: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2021).

- Column 1: Write in the name of each stratum into which the sample was divided. These should match the strata reported in Column 1(b) of Form 1.
- Column 2: Report the number of outlets in the sampling frame in each stratum. These numbers should match the numbers reported for the respective strata in Column 2(c) of Form 1.
- Column 3: Report the original sample size (the number of outlets originally selected, *including* substitutes or replacements) for each stratum.
- Column 4: Report the number of sample outlets in each stratum that were found to be eligible during the inspections. Note that this number must be less than or equal to the number reported in Column 3 for the respective strata.
- Column 5: Report the number of eligible outlets in each stratum for which an inspection was completed. Note that this number must be less than or equal to the number reported in Column 4. These numbers should match the numbers reported in Column 4(c) of Form 1 for the respective strata.
- Column 6: Report the number of eligible outlets inspected in each stratum that were found in violation. These numbers should match the numbers reported in Column 5(c) of Form 1 for the stratum.
- Column 7: Form 2 (in Excel form) will automatically calculate the stratum RVR for each stratum in this column. This is calculated by dividing the number of inspected eligible outlets found in violation (Column 6) by the number of inspected eligible outlets (Column 5). The state unweighted RVR will be shown in the Total row of Column 7.
- Column 8: Form 2 (in Excel form) will automatically calculate the estimated number of eligible outlets in the population for each stratum. This calculation is made by multiplying the number of outlets in the sampling frame (Column 2) times the number of eligible outlets (Column 4) divided by the original sample size (Column 3). Note that these numbers will be less than or equal to the numbers in Column 2.
- Column 9: Form 2 (in Excel form) will automatically calculate the relative stratum weight by dividing the estimated number of eligible outlets in the population for each stratum in Column 8 by the Total of the values in Column 8.
- Column 10: Form 2 (in Excel form) will automatically calculate each stratum's contribution to the state weighted RVR by multiplying the stratum RVR (Column 7) by the relative stratum weight (Column 9). The weighted RVR for the state will be shown in the Total row of Column 10.
- Column 11: Form 2 (in Excel form) automatically calculates the standard error of each stratum's RVR (Column 7). The standard error for the state weighted RVR will be shown in the Total row of Column 11.
- TOTAL: For Columns 2–6, Form 2 (in Excel form) provides totals for the state as a whole in the last row of the table. For Columns 7–11, it calculates the respective statistic for the state as a whole.

FORM 2 (Optional) Appropriate for stratified simple or systematic random sampling designs.

Calculation of Weighted Retailer Violation Rate State: FFY: 2021 (4) (8) (10)(2) N'=N(n1/n)(9) n1 (6)(7) pw p=x/n2Ν Number of (5) Estimated w=N'/Total Stratum (11)X n2 Stratum Column 8 Contribution Number of (3) Sample Number of Number of s.e. (1) Outlets Outlets Number of Outlets Retailer Eligible Relative to State Standard Stratum in Sampling Original Found Outlets Found Violation Outlets in Stratum Weighted Error of Sample Size Name Frame Eligible Inspected in Violation Rate Population Weight RVR Stratum RVR **Total**

N - number of outlets in sampling frame

n - original sample size (number of outlets in the original sample)

n1 - number of sample outlets that were found to be eligible

n2 - number of eligible outlets that were inspected

x - number of inspected outlets that were found in violation

p - stratum retailer violation rate (p=x/n2)

N' - estimated number of eligible outlets in population (N'=N*n1/n)

w - relative stratum weight (w=N'/Total Column 8)

pw - stratum contribution to the weighted RVR

s.e. - standard error of the stratum RVR

FORM 3 (Required when a cluster design is used for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data.)

Complete Form 3 to report information about primary sampling units when a cluster design was used for the Synar survey.

Instructions for Completing Form 3: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2021).

Provide information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

Column 1: Sequentially number each row.

Column 2: If stratification was used: Write in the name of stratum. All strata in the state must be

listed.

If no stratification was used: Write "state" in the first row to indicate that the whole state

constitutes a single stratum.

Column 3: Report the number of primary sampling units (PSUs) (i.e., first-stage clusters) created for

each stratum.

Column 4: Report the number of PSUs selected in the original sample for each stratum.

Column 5: Report the number of PSUs in the final sample for each stratum.

TOTALS: For Columns 3–5, provide totals for the state as a whole in the last row of the table.

	Summary of Clusters	\$	npled State: FFY: 2021	
(1) Row #	(2) Stratum Name	(3) Number of PSUs Created	(4) Number of PSUs Selected	(5) Number of PSUs in the Final Sample
	Total			

FORM 4 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data)

Complete Form 4 to provide detailed tallies of ineligible sample outlets by reasons for ineligibility and detailed tallies of eligible sample outlets with noncomplete inspections by reasons for noncompletion.

Instructions for Completing Form 4: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2021).

Column 1(a): Enter the number of sample outlets found ineligible for inspection by reason for ineligibility. Provide the total number of ineligible outlets in the row marked "Total."

Column 2(a): Enter the number of eligible sample outlets with noncomplete inspections by reason for noncompletion. Provide the total number of eligible outlets with noncomplete inspections in the row marked "Total."

Inspection Tallies b	y Reason	of Ineligibility or Noncompletion		
State:				
	FFY: 2021			
(1) INELIGIBLE		(2) ELIGIBLE		
Reason for Ineligibility	(a) Counts	Reason for Noncompletion	(a) Counts	
Out of business		In operation but closed at time of visit		
Does not sell tobacco products		Unsafe to access		
Inaccessible by youth		Presence of police		
Private club or private residence		Youth inspector knows salesperson		
Temporary closure		Moved to new location		
Unlocatable		Drive-thru only/youth inspector has no driver's license		
Wholesale only/Carton sale only		Tobacco out of stock		
Vending machine broken		Ran out of time		
Duplicate		Other noncompletion reason(s) (Describe.)		
Other ineligibility reason(s) (Describe.)				
Total		Total		

FORM 5 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data)

Complete Form 5 to show the distribution of outlet inspection results by age and gender of the youth inspectors.

Instructions for Completing Form 5: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2021).

Column 1: Enter the number of attempted buys by youth inspector age and gender.

Column 2: Enter the number of successful buys by youth inspector age and gender.

If the inspectors are age eligible but the gender of the inspector is unknown, include those inspections in the "Other" row. Calculate subtotals for males and females in rows marked "Male Subtotal" and "Female Subtotal." Sum subtotals for Male, Female, and Other and record in the bottom row marked "Total." Verify that that the total of attempted buys and successful buys equals the total for Column 4(c) and Column 5(c), respectively, on Form 1. If the totals do not match, please explain any discrepancies.

	Synar Survey Inspector Charac	teristics
		State:
		FFY: 2021
	(1) Attempted Buys	(2) Successful Buys
Male		
15 years		
16 years		
17 years		
18 years		
19 years		
20 years		
Male Subtotal		
Female		
15 years		
16 years		
17 years		
18 years		
19 years		
20 years		
Female Subtotal		
Other		
Total		

APPENDICES B & C: FORMS

Instructions

Appendix B (Sampling Design) and Appendix C (Inspection Protocol) are to reflect the state's CSAP-approved sampling design and inspection protocol. These appendixes, therefore, should generally describe the design and protocol and, with the exception of Question #10 of Appendix B, are not to be modified with year-specific information. Please note that any changes to either appendix must receive CSAP's advance, written approval. To facilitate the state's completion of this section, simply cut and paste the previously approved sampling design (Appendix B) and inspection protocol (Appendix C) and respond to Question #10 of Appendix B to provide the requested information about sample size calculations for the Synar survey conducted in FFY 2020.

APPENDIX B: SYNAR SURVEY SAMPLING METHODOLOGY

3. If an area frame is used, describe how area sampling units are defined and formed. a. Is any area left out in the formation of the area frame? Yes No If Yes, what percentage of the state's population is not covered by the area frame?				: New Jersey 2021
List frame (Go to Question 2.) Area frame (Go to Question 3.) List-assisted area frame (Go to Question 2.)	1. What type of sa	mpling f	rame is used?	
Area frame (Go to Question 3.) List-assisted area frame (Go to Question 2.) 2. List all sources of the list frame. Indicate the type of source from the list below. Provide a brief description of the frame source. Explain how the lists are updated (method), including how new outlets are identified and added to the frame. In addition, explain how often the lists are updated (cycle). (After completing this question, go to Question 4.) Use the corresponding number to indicate Type of Source in the table below. 1 - Statewide commercial business list		• 0		
□ List-assisted area frame (Go to Question 2.) 2. List all sources of the list frame. Indicate the type of source from the list below. Provide a brief description of the frame source. Explain how the lists are updated (method), including how new outlets are identified and added to the frame. In addition, explain how often the lists are updated (cycle). (After completing this question, go to Question 4.) Use the corresponding number to indicate Type of Source in the table below. 1 - Statewide commercial business list 4 - Statewide retail license/permit list 2 - Local commercial business list 5 - Statewide liquor license/permit list 3 - Statewide tobacco license/permit list 6 - Other Name of Frame Source Description Updating Method and Cycle Updating Method and Cycle Description Updating Method and Cyc		•	-	
a brief description of the frame source. Explain how the lists are updated (method), including how new outlets are identified and added to the frame. In addition, explain how often the lists are updated (cycle). (After completing this question, go to Question 4.) Use the corresponding number to indicate Type of Source in the table below. 1 - Statewide commercial business list		,	· ·	
Name of Frame Source Description Updating Method and Cycle Department of Treasury obacco license list Statewide tobacco retail license/permit list Anually 3. If an area frame is used, describe how area sampling units are defined and formed. a. Is any area left out in the formation of the area frame? Yes No	a brief description including how including how in how often the life the correspondent of the life that the correspondent is a second of the life that the	ion of the new outle sts are up conding nu e commercial mmercial b	e frame source. Explain how the lists ts are identified and added to the frapdated (cycle). (After completing this ember to indicate Type of Source in the table ial business list 4 – Statewide retail lieusiness list 5 – Statewide liquor l	are updated (method), ame. In addition, explain question, go to Question 4.) below. cense/permit list
3. If an area frame is used, describe how area sampling units are defined and formed. a. Is any area left out in the formation of the area frame? Yes No If Yes, what percentage of the state's population is not covered by the area frame?	Name of Frame Source		Description	Updating Method and Cycle
a. Is any area left out in the formation of the area frame? \[\textstyle \t		3	Statewide tobacco retail license/permit list	Anually
a. Is any area left out in the formation of the area frame? \[\textstyle \t				
a. Is any area left out in the formation of the area frame? \[\textstyle \t				
a. Is any area left out in the formation of the area frame? \[\textstyle \t				
☐ Yes ☐ No If Yes, what percentage of the state's population is not covered by the area frame?				
If Yes, what percentage of the state's population is not covered by the area frame?	_			
	If Yes,	what perc	centage of the state's population is not	covered by the area frame?
4. Federal regulation requires that vending machines be inspected as part of the Synar survey. Are vending machines included in the Synar survey?	· · · · · · · · · · · · · · · · · · ·			
⊠ Yes □ No	⊠ Yes □ No			
If No, please indicate the reason(s) they are not included in the Synar survey. Please check all that apply.	• •	icate the	reason(s) they are not included in the	Synar survey. Please check
State law bans vending machines.State law bans vending machines from locations accessible to youth.	<u> </u>		<u>-</u>	sible to youth

		State has a contract with the FDA and is actively enforcing the vending machine requirements of the Family Smoking Prevention and Tobacco Control Act.
		Other (Please describe.)
	<u>If 1</u>	Yes, please indicate how likely it is that vending machines will be sampled.
	in	Vending machines are sampled separately to ensure vending machines are included the sample
	po	Vending machines are sampled together with over the counter outlets, so it is ssible that no vending machines were sampled, however they are included in the npling frame and have a non-zero probability of selection Other reasons (<i>Please describe</i> .)
5.	Which o	category below best describes the sample design? (Check only one.)
		Census (STOP HERE: Appendix B is complete.)
	Ur	stratified statewide sample:
		Simple random sample (Go to Question 9.)
		Systematic random sample (Go to Question 6.)
		Single-stage cluster sample (Go to Question 8.)
		Multistage cluster sample (Go to Question 8.)
		ratified sample:
		Simple random sample (Go to Question 7.)
		Systematic random sample (Go to Question 6.)
		Single-stage cluster sample (Go to Question 7.)
		Multistage cluster sample (Go to Question 7.)
		Other (Please describe and go to Question 9.)
6.		e the systematic sampling methods. (After completing Question 6, go to Question 7 ication is used. Otherwise go to Question 9.)
7.		the following information about stratification.
	a.	Provide a full description of the strata that are created. New Jersey always uses stratified sampling as our sampling method. We stratify
		the population by county and then apply simple random sampling with each
		stratum. Specifically, based on the outlet number of distributions, the counties are
		stratified into three groups as follows: Stratum Percent Distribution
		I <6%
		II 6-10%
		III >10%
	b.	Is clustering used within the stratified sample?
		☐ Yes (Go to Question 8.)

No (Go to Question 9.)

8. Provide the following information about clustering.

a. Provide a full description of how clusters are formed. (If multistage clusters are used, give definitions of clusters at each stage.)

Specify the sampling method (simple random, systematic, or probability proportional to size sampling) for each stage of sampling and describe how the method(s) is (are) implemented.

9. Provide the following information about determining the Synar Sample.

a. Was the Synar Survey Estimation System (SSES) used to calculate the sample size?

```
Yes (Respond to part b.)No (Respond to part c and Question 10c.)
```

b. SSES Sample Size Calculator used?

State Level (Respond to Question 10a.)

Stratum Level (Respond to Question 10a and 10b.)

NOTE: NJ used SSES to calculate state level sample size, and then used SAS software to calculate stratum level sample sizes.

c. Provide the formulas for determining the effective, target, and original outlet sample sizes.

$$n_e = \frac{1}{\left(\frac{(0.0182)^2}{P(1-P)} + \frac{1}{N}\right)}$$

p = previous year's RVR

N = total number of outlets in the sampling frame

Target sample size is equal to the effective sample size assuming that the design effect is one. This assumption is reasonable because the sample design is a stratified simple random sampling with proportional allocation.

Original sample size = (1+s)* Effective sample size / (Eligibility Rate x Completion Rate).

Both eligibility and completion rates are anticipated rates based on previous year's data and s=30%.

10. Provide the following information about sample size calculations for the Synar survey conducted in FFY 2020.

a. If the state uses the sample size formulas embedded in the SSES Sample Size Calculator to calculate the state level sample size, please provide the following information:

Inputs for Effective Sample Size:

RVR: 10.6%

Frame Size: 8,158

Input for Target Sample Size:

Design Effect: 1

Inputs for Original Sample Size:

Safety Margin: 30%

Accuracy (Eligibility) Rate: 94.9%

Completion Rate: 99.8%

b. If the state uses the sample size formulas embedded in the SSES Sample Size Calculator to calculate the stratum level sample sizes, please provide the stratum level information:

stratum I: 2889 outlets stratum II: 2847 outlets stratum III: 2422 outlets

c. If the state does not use the sample size formulas embedded in the SSES Sample Size Calculator, please provide all inputs required to calculate the effective, target, and original sample sizes as indicated in Question 9.

APPENDIX C: SYNAR SURVEY INSPECTION PROTOCOL SUMMARY

		State: NJ FFY: 2021
Ins	spection I	nd to WebBGAS a copy of the Synar inspection form under the heading "Synar Form" and a copy of the protocol used to train inspection teams on conducting and be results of the Synar inspections under the heading "Synar Inspection Protocol."
1.	How do	es the state Synar survey protocol address the following?
	a.	Consummated buy attempts?
		⊠ Required
		Permitted under specified circumstances (Describe:)
		☐ Not permitted
	b.	Youth inspectors to carry ID?
		Required
		Permitted under specified circumstances (Describe:)
		Not permitted
	c.	Adult inspectors to enter the outlet?
		⊠ Required
		Permitted under specified circumstances (Describe:)
		☐ Not permitted
	d.	Youth inspectors to be compensated?
		⊠ Required
		Permitted under specified circumstances (Describe:
		☐ Not permitted
2.	•	the agency(ies) or entity(ies) that actually conduct the random, unannounced aspections of tobacco outlets. (Check all that apply.)
		Law enforcement agency(ies)
	\boxtimes	State or local government agency(ies) other than law enforcement
		Private contractor(s)
		Other
		st the agency name(s): New Jersey Department of Health (DOH), Division of
		ommunity Health Services, Community Health and Wellness Unit, Office of Tobacco ontrol (OTC). Tobacco Age of Sale Enforcement (TASE)
	<u>Cc</u>	ontrol (OTC), Tobacco Age of Sale Enforcement (TASE)

3.	Are Synar inspections combined with law enforcement efforts (i.e., do law enforcement representatives issue warnings or citations to retailers found in violation of the law at the time of the inspection?)?
4.	Describe the type of tobacco products that are requested during Synar inspections.
	a. What type of tobacco products are requested during the inspection?
	 ☐ Cigarettes ☐ Small Cigars ☐ Cigarillos ☐ Smokeless Tobacco ☐ Electronic Cigarettes/Electronic Nicotine Delivery Systems (ENDS) ☐ Other
	b. Describe the protocol for identifying what types of products and what brands of products are requested during an inspection.
	The type of tobacco products requested, and the product brands are selected at the discretion of the tobacco inspector.

5a. Describe the methods used to recruit, select, and train adult supervisors.

NJDOH/TASE program recruits and hires part-time adult inspectors under Special Services contracts (Public Health Representatives). These employees perform inspections in all areas of the State and recruit youth inspectors (hired by NJDOH as student associates) from schools, community centers and faith-based organizations.

The TASE adult inspectors receive training from the OTC Program Coordinator and/or veteran inspectors. The training includes a protocol and procedures manual developed by NJDOH/TASE. This training manual, The New Jersey Guidelines for Prohibiting the Sale of Tobacco Products to Minors, was developed in June of 1996 and revised in 2003, 2005, 2012 2016 and again in 2020 to ensure standardization in performing inspections and to include a COVID 19 Risk Mitigation Plan. Chapter 3, entitled Compliant Check Inspections Protocol, provides specific training information on how to conduct proper inspections.

5b. Describe the methods used to recruit, select, and train youth inspectors.

Adult TASE inspectors train the youth inspectors, utilizing the training manual and roleplaying typical inspections. Youth inspectors shadow and observe veteran youth inspectors until they are comfortable in conducting inspections. The youth inspectors are recruited from schools, churches, referrals from youth inspectors, and other youth organizations

- 6. Are there specific legal or procedural requirements instituted by the state to address the issue of youth inspectors' immunity when conducting inspections?
 - a. Legal

		☐ Yes ⊠ No
		(If Yes , please describe.)
	b.	Procedural
		☐ Yes ⊠ No
		(If Yes , please describe.)
7.		re specific legal or procedural requirements instituted by the state to address of the safety of youth inspectors during all aspects of the Synar inspection
	a.	Legal
		☐ Yes ⊠ No
		(If Yes , please describe.)
	b.	Procedural
		⊠ Yes □ No
		(If Yes , please describe.)
		New Jersey requires that a current dated photograph of each youth inspector be kept on file with a copy of his or her birth certificate and signed parental consent. The safety of the youth inspector is paramount always. The TASE manual describes and defines protocols if either the youth inspector or adult supervisor perceive or suspect a possible threat, danger or harm, the adult supervisor is authorized to postpone or cancel the inspection.
8.	inspection	re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)?
	a.	Legal
		☐ Yes ⊠ No
		(If Yes, please describe.)
	b.	Procedural
		∑ Yes □ No
		(If Yes , please describe.)

The adult inspector transports youth to and from the sites and accompanies them during the attempted buy, particularly when the site is large enough for the adult supervisor to remain inconspicuous. On occasion, based on the discretion of the adult inspectors, the youth inspector may enter the site alone, when a site is so small (e.g. newsstands, kiosk) that suspicion of the clerk would be aroused by the presence of both the adult supervisor and the youth inspector. In all situations, youth inspectors stay within view of the adult supervisor (safety is the primary concern).

The age of hired youth inspectors is currently ages 16-18 years old. New Jersey's law (NJSA 2A:170-51.4) prohibits the sale of any tobacco products to any persons under 21 years old. (21 years old effective November 1, 2017) Youth inspectors are between the ages of 16 and 18 years old.

Adult inspectors are employed by TASE and are compensated monetarily. Youth inspectors employed by TASE are compensated monetarily.

New inspectors are trained by veteran inspectors and/or the TASE coordinator. Youth are trained by veteran youth and the inspector.

APPENDIX D: LIST SAMPLING FRAME COVERAGE STUDY

(LIST FRAME ONLY)

		State: New Jersey FFY: 2021				
1.	Calenda	ar year of the coverage study: <u>2020</u>				
2.	a. b. c. d.	. Weighted percent coverage found: 84.7% Number of outlets found through canvassing: 190				
3.	a.	Describe how areas were defined. (e.g., census tracts, counties, etc.)				
		Census tracts				
	b.	Were any areas of the state excluded from sampling? ☐ Yes ☐ No If Yes, please explain.				
4.	Please a	Inswer the following questions about the selection of canvassing areas. Which category below best describes the sample design? (Check only one.)				
		Census (Go to Question 6.)				
		Unstratified statewide sample: ☐ Simple random sample (Respond to Part b.) ☐ Systematic random sample (Respond to Part b.) ☐ Single-stage cluster sample (Respond to Parts b and d.) ☐ Multistage cluster sample (Respond to Parts b and d.)				
		Stratified sample:				
		 ☐ Simple random sample (Respond to Parts b and c.) ☐ Systematic random sample (Respond to Parts b and c.) ☐ Single-stage cluster sample (Respond to Parts b, c, and d.) ☐ Multistage cluster sample (Respond to Parts b, c, and d.) ☐ Other (Please describe and respond to Part b.) 				
	b.	Describe the sampling methods.				
		Applied a simple random sampling to all the census tracts in NJ with SAS survey select function.				

	c.	Provide a full description of the strata that were created.				
	d.	Provide a full description of how clusters were formed.				
5.		orders of the selected areas clearly identified at the time of canvassing?				
	Yes	∐ No				
6.	Were al	l sampled areas visited by canvassing teams?				
	Yes Yes	(Go to Question 7.) 🔀 No (Respond to Parts a and b.)				
	a.	Was the subset of areas randomly chosen?				
		⊠ Yes □ No				
	b.	Describe how the subsample of visited areas was drawn. Include the number of areas sampled and the number of areas canvassed.				
		39 areas were randomly sampled, and 31 areas were visited. One inspector had Covid-19 infection and did not complete some site visits.				
_	***					
7.	Were field observers provided with a detailed map of the canvassing areas?					
	If No, describe the canvassing instructions given to the field observers.					
8.	Were fie	eld observers instructed to find all outlets in the assigned area?				
		⊠ No				
	If Yes, d	espond to Question 9. escribe any instructions given to the field observers to ensure the entire area was ed, then go to Question 10.				
9.	If a full canvassing was not conducted:					
	a.	How many predetermined outlets were to be observed in each area? $\underline{6}$				
	b.	What were the starting points for each area? Randomly selected				
	c.	Were these starting points randomly chosen?				
		∑ Yes □ No				
	d.	Describe the selection of the starting points.				

In the sampled area, the inspectors start from a random point to survey the community.

- e. Please describe the canvassing instructions given to the field observers, including predetermined routes.
- 1. Review the selected sample areas, streets and planned walking routes.
- 2. In the sampled area, the inspectors start from a random point to survey the community until achieving 6 business sites that sell tobacco products. These sites include:

Supermarkets

Delicatessens

Grocery stores

Convenience stores

Convenience stores/gas stations

Gas stations

Pharmacies

Restaurants

Liquor stores

Bars

Malls

Lunch trucks and any sites that advertise tobacco products

- 3. For each site that inspectors visit, one survey form needs to be completed and the questions regarding the site location and tobacco license information need to be answered.
- 10. Describe the process field observers used to determine if an outlet sold tobacco.

The inspectors asked people in the outlet if the site sells tobacco.

11. Please provide the state's definition of "matches" or "mismatches" to the Synar sampling frame? (i.e., address, business name, business license number, etc.)

"Matches" is defined as matched by license number, business name and address..

12. Provide the calculation of the weighted percent coverage (if applicable).

3 T / A		
N/A		